

09/230111



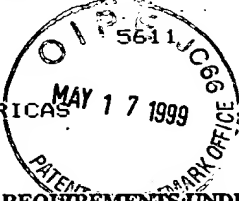
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark  
Address: ASSISTANT COMMISSIONER FOR PATENT  
Box PCT  
Washington, D.C. 20231

18-Rec'd

24 MAY 1999

U.S. APPLICATION NO. 09/230111 DATE FIRST NAMED APPLICANT T ATTY. DOCKET NO. 48962-A-PCT-V

JOHN P WHITE  
COOPER & DUNHAM  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036



INTERNATIONAL APPLICATION NO.

PCT/US97/12677

I.A. FILING DATE

PRIORITY DATE

DATE MAILED: 07/18/97 07/22/96  
04/28/99 (6/11)

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☐ a non-English language.  
☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 22 JAN. 1999 and \_\_\_\_\_

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_

☒ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_

☒ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☒ Other: (Diskette)

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

*Christina Washington*  
Telephone: 703-305-3752



512 Rec'd PCT/PT 17 MAY 1999

PCT # 5

Dkt. 48962-A-PCT-US/JPW/EMW

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Applicants : Taka-Aki Sato and Junn Yanagisawa  
U.S. Serial No.: 09/230,111 Int'l Appln No: PCT/US97/12677  
Entered U.S. national stage : July 18, 1997 Int'l Filing Date: 18 July 1997  
For : COMPOUNDS THAT INHIBIT INTERACTION BETWEEN SIGNAL-TRANSDUCING PROTEINS AND THE GLGF (PDZ/DHR) DOMAIN AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
May 12, 1999

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Attn: DO/EO/US

Sir:

COMMUNICATION IN RESPONSE TO APRIL 28, 1999  
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

This Communication is submitted in response to a Notification of Missing Requirements under 35 U.S.C. § 371 issued in connection with the above-identified application on April 28, 1999. A copy of the Notification is attached hereto as Exhibit A. A response to the Notification is due on May 28, 1999. Accordingly, this Communication is being timely filed.

In response to the April 28, 1999 Notification, applicants hereby submit an original Declaration and Power of Attorney pursuant to 35 U.S.C. § 371 attached hereto as Exhibit B. The Declaration refers to the application's international application number, international filing date, and above-identified serial number.

The surcharge for submitting the Declaration and Power of Attorney under 37 C.F.R. § 1.492(e) is SIXTY-FIVE DOLLARS (\$65.00) for a

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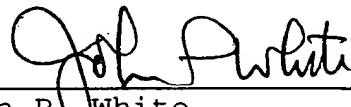
65.00 DP

Applicants: Taka-Aki Sato and Junn Yanagisawa  
U.S. Serial No.: 09/230,111  
Entered U.S. national stage: July 18, 1997  
Page 2

small entity. Applicants have previously established small entity status and this status is still applicable. Applicants enclose a check in the total amount of \$65.00 to cover the surcharge fee under 37 C.F.R. §1.492(e).

No fee, other than the enclosed \$65.00 surcharge fee for filing the Declaration and Power of Attorney. If any other fees are required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

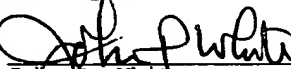
Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
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New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,  
Washington, D.C. 20231.



5/12/99

John P. White  
Reg. No. 28,678

Date